Minutes of the Cataloguing and Authorities Users' Group Meeting
February 13 2007, Robarts Library Room 4049, 10:00 a.m

Present: Alastair Boyd *(Robarts [Chair]),* Michael Bramah *(SMC),* Julia Callaby *(Robarts),* Carmen Garcia *(Mt. Sinai),* Barbara Geiger *(St. Augustine's),* Mary Jaques *(Regis),* Sian Meikle *(ITS),* Felicity Pickup *(Robarts Ref),* Stephen Qiao *(East Asian),* H. Rashid *(Law),* Sirpa Ruotsalainen *(Trinity),* Mary Ruscillo *(Robarts),* Dean Seeman *(SMC),* Anna Slawek *(Robarts),* Arthur Smith *(ROM),* Carmen Socknat *(Victoria),* Eva Spevak *(Robarts),* Pamela Strachan *(Rotman),* Stephanie Swift *(OISE/UT),* Nancy Wesson *(Victoria)*

Regrets: Sherry Smuggler *(DMGIS)*

1. **Approval of Minutes of November 21 Meeting**
   Minutes approved as written.

2. **Business Arising**

   (a) Lari Langford (*Robarts*) has created a couple of new Home Location codes in Sirsi. UTM has added two for oversize Reference and Periodicals shelving — REF_OVER and PER_OVER — and Robarts has added one — SHIPPING — for Casalini shelf-ready items whose MARC records arrive well in advance of the books (which are frequently held up for indeterminate periods at Customs). This location displays in the OPAC as "Shipping from publisher", which is supposed to make it clear to browsers in the catalogue that the item has not yet arrived.

   (b) Alastair Boyd (*Robarts*) has recently discussed with Tom Chan in ITS the feasibility of broadening the catalogue "Title" search to include series terms in addition to monograph and serial titles. This should not require any rebuilding of indexes, and since the group still approves of the idea, Alastair will ask Tom to proceed.

   (c) Just before Christmas, Wenran Zhang (*ITS*) completed the automated creation of MARC holdings for serials which previously had only **090** subfield **|d** holdings information. On February 6 she followed this up with a short list of records requiring manual cleanup which Alastair sent round to the CatInfo list. Nobody reported any problems with this, either before or during the meeting.

3. **Report from ITS (Sian Meikle)**

   (a) Sian provided a summary of the Serials Concatenation project to date, and also outlined the next steps. The goal is to have a single record per serial title, with holdings for all libraries attached — first print, and ultimately electronic holdings as well. The first step was to ensure there are MARC holdings for all serials that had **090** holdings: this was completed by Wenran just before Christmas. Groups of duplicate records have been identified, and within these groups a "master record" selected. As an adjunct to this, an automated OCLC search has been done to find matching CONSER records with which to overlay and upgrade our master records, where possible. (There is a file — the so-called "red" file — of 4,624 UTL serial records containing no ISSN or OCLC number, which will have to be searched manually to find any corresponding CONSER records. Sian will consult about dividing this up for volunteers to deal with.) Maks Okrasa (*Robarts*) and Tom Chan have done this overlay of master records in the test database so we can all appraise the results before this procedure is done in earnest. Alastair will try to find some titles with especially numerous holdings and suggest them on CatInfo as samples for inspection.

   The next step will be to start moving data from duplicates onto the master records. Although Wenran has now worked out how to move MARC holdings, there are still uncertainties to do with moving attached standing orders and serial controls. These are undocumented procedures, so we have to work cautiously in partnership with Sirsi to be sure this works

properly. Once the technique has been developed and tested, the last big hurdle will have been overcome, and we can move ahead with transferring the print holdings for all titles. Followed, finally, by the electronic holdings. It is difficult to give a definitive timetable because of the unresolved technical issues, but progress is being made.

(b) Sian also reported that the ITS programmer who had been working on the new ERM system (Verde) left in January to go to New Jersey. This means the scripting required to make Sirsi and Verde talk to each other (e.g. for synchronizing OPAC and ERM listings for electronic resources) will be delayed somewhat.

4. Report from the Campus Database Committee

Alastair reported that there has been little CDC activity since our last meeting. There have been two more batch loads of records: one large but straightforward, from Coutts MyiLibrary, and a small batch of medical texts from Thieme (31 records that Alastair adjusted manually to validate headings, remove invalid tags, etc.)

5. Loading U of T records to AMICUS and OCLC

Since the migration to Sirsi, the loading of our records to AMICUS, which was being handled by AG-Canada, has ceased. Tom Chan has been busy working to resume these loads, which will now be done directly through Library and Archives Canada. Robarts Resources Sharing department has requested that the holding libraries be clearly identified on UTL records for ILL purposes, so that requests will go to the right place. This will mean removing all our existing AMICUS holdings (whose ownership is not specific enough) then reloading everything; thus there will be a short period when we will have nothing at all in AMICUS.

LAC also needs to know non-circulating locations and material types for each library, so Tom has been soliciting this information (libraries have been filling in an Excel spreadsheet). We have sent 2000 test records to LAC representing different languages and materials, selected from all libraries. LAC is working on a script for loading them while we decide whether to try loading in simple database sequence, or library by library. There might be some advantages in loading the "large" libraries first, since these records would also have a lot of attached holdings for smaller libraries.

The principle of identifying individual U of T libraries holds true for our upcoming load / holdings update for OCLC WorldCat. To this end OCLC has just mapped 43 Sirsi library names to different identifiers. Next will come the load of some test records. If all goes as planned, then the WorldCat display should indicate whether a given U of T holding library is a "contributor" or not, i.e., whether their item is available for ILL. The load will only be for monographs, for the time being.

6. Automated authority record updates

At a previous meeting we discussed the growing discrepancies in our catalogue resulting from outdated local copies of LC authority records, especially since last year's decision allowing NACO libraries to add death dates to LC name authorities. We are finding more and more hitlist results split between a name with an open birth date (numerous results) and the same name with a death date added (a few recent items). More than 5000 LC name headings were updated with death dates last year, and the process continues. If we overlay our old LC authorities with the updated versions, the system will automatically flip corresponding headings in the bib records and restore consistency. However, doing these overlays manually would take a long time. Maks Okrasa has worked out a way to automate the process, using a MARC database of our local authority file extracted by Tom Chan. Some cautious initial loading to overlay old authorities has just begun. We have to be careful not to overload Sirsi's nightly update routines, which is when the global search and replace of matching bibliographic headings takes place.

Question: will this procedure update names used as subjects with subdivisions such as "|xCriticism and interpretation"? *Answer*: No, Sirsi's design precludes replacing the leading part of a heading while retaining the subdivisions. This also means that name-title added entries will not

be updated, unless we had a corresponding name-title authority record. These headings will have to be dealt with in a different way, after the current project has finished. We envision that this routine could be run periodically, to catch headings that continue to be updated, whether with death dates, or additional cross-references and **670** notes.

Another point: there are quite a few LC authorities to which we have added local data in the shape of call numbers for literary authors (in a local **053** field). Maks's program looks for such modifications, based on the presence of the identifier "CaOTU" in the 040 field, and merges the local field into the updated record. Therefore, if other libraries have similar local additions which should be preserved, please notify Alastair *right away*, so that your identifier can also be detected.

7. **Other Business**

   (a) Some questions remain about the ISBN-13 in Sirsi. For the sake of consistency all ISBN-based title control numbers in the database will ultimately be converted to the 13-digit version, regardless of what is in the **020** fields. Tom could even run this conversion program now. However it would make more sense to wait until we can install the Sirsi update where SmartPORT will also create 13-digit title control numbers on import (i.e. even when the record only carries a 10-digit ISBN). The update will also index ISBN-10s in the record as if they were both ISBN-10 and ISBN-13. All this is to ensure that matches will be found if books first issued with an ISBN-10 are reprinted with only an ISBN-13.

   Parenthetically: the update remains delayed because of an incompatibility between the next version(s) of Sirsi and our Oracle database on the AIX operating system. Sirsi is still working on this.

   Question: OCLC was putting ISBN-13 numbers in an **024** field; should we? *Answer*: No, this is not necessary. OCLC used to do this because their 020 field did not allow the longer number, but this has now been fixed. So all numbers identified as an ISBN should go in an **020** field.

   (b) Time did not permit a proper discussion of some issues recently raised concerning record-sharing, involving order records and differing treatment of series, among other things. Alastair will put this higher up on the next agenda, and bring some concrete examples of series classed together but catalogued separately, etc. etc.

The meeting adjourned at 11:35.