Minutes of the Cataloguing and Authorities Users' Group Meeting
November 26 2007, Robarts Library Room 4049, 10:00 a.m

Present: Lisa Andrews-Attwater *(Rotman),* Alastair Boyd *(Chair, Robarts),* Michael Bramah *(SMC),* Mary Canning *(UTM),* Anne Dondertman *(Fisher),* Carmen Garcia *(Mt. Sinai),* Mary Jaques *(Regis),* Stephen Qiao *(East Asian),* H. Rashid *(Law),* Kathryn Roberts *(Law),* Fabiano Rocha *(Robarts),* Sirpa Ruotsalainen *(Trinity),* Anna Slawek *(Robarts),* Sherry Smuggler *(DMGIS),* Carmen Socknat *(Victoria),* Eva Spevak *(Robarts),* Stephanie Swift *(OISE/UT),* Nancy Wesson *(Victoria)*

1. Approval of Minutes of June 5 Meeting
Minutes approved as written.

2. Business Arising
Alastair Boyd (*Robarts*) followed up the discussion at the previous meeting about assigning an Item Type to DVD-ROMs. The simplest course is to continue assigning CD_ROM which is the closest available option, but to ask Lari Langford to redefine the display text associated with this type. So instead of displaying in the OPAC as "CD-ROMs, all contents", it would say something more inclusive. Alastair will run any suggestions by CAUG and Reference Services, then ask Lari to make the change.

3. Election of Chair for 2008
In the absence of any other nominees, Alastair agreed to continue as Chair for a sixth year.

4. Update from ITS
On behalf of Sian Meikle and Thomas Chan from ITS, Alastair issued progress reports on (a) OCLC WorldCat and (b) the serials concatenation project.

(a) Tom sent about 4.7 million U of T monograph records to OCLC back in March; OCLC finished loading them a couple of months ago, along with all the CJK records from RLIN. Serials records will have to wait until some questions about holdings records and OCLC numbers are resolved. (In any case, it might be sensible to consider serials after the concatenation project.) Ongoing new records plus those added to Sirsi since March will most likely be sent on a regular bi-monthly schedule. Tom is still waiting for some answers from OCLC about this.

Question: Our database has more than 4.7 million records, even without the serials. Have other categories of records, or libraries, also been excluded? *Answer*: Alastair will ask Tom for more details about record selection. *Question*: When users of OCLC Connexion contribute a new record, they get a credit. What about original records that are batch loaded from our database (i.e. those titles for which our record is the first to appear in OCLC)? And if there is a credit, how will it be passed along to the campus library that created it? *Answer*: Again, Alastair will pursue this with Tom Chan and OCLC. *Question*: What happens in WorldCat when a U of T library drops a record, or a location changes? Now that we have many specific OCLC identifiers for individual libraries, if for example Gov Docs relocates material to Robarts stacks then WorldCat will need to be updated so that ILL requests can be correctly routed. *Answer*: A couple of years ago when Elizabeth Black talked about this, it was clear the owning library had the

responsibility for keeping for keeping WorldCat up-to-date. Assuming this is still true, there may be Sirsi reports that libraries can run to help them keep track of such changes. Alastair will add this to the list of questions for Tom and/or OCLC.

(b) Sian has reported that Wenran Zhang (*ITS*) has surmounted the last known system-based obstacle to serials concatenation. Now order records, along with call numbers and MARC holdings with associated serials controls, can be moved from one Sirsi record to another. So the plan is now to try out the whole process in the test database (after it has been updated to reflect the current production database). All affected libraries will then be asked to inspect a sample of newly concatenated records with their holdings attached, to check that the bib records, MARC holdings, serials controls, item and order records etc. are all intact and properly linked. If the test goes well, then the process will be repeated on the production server. There will remain a considerable number of records that couldn't be machine-matched, but just the same this will be a great step forward.

5. LAC report on the future of AMICUS

This summer, Library and Archives Canada hired a consultant to conduct a study of the National Union Catalogue "with a view to recommending options for improving service delivery" (as they described it). The consultant (Jack Cain, previously with UTLAS, ISM, and AG-Canada) interviewed a number of interested parties, including a couple of us here at U of T. His report is now complete, and may be of interest to some. There is no sign of it on the LAC website yet, but Alastair will send round the link on CatInfo if and when it appears. Or failing that, a summary of the recommendations. The underlying theme is that AMICUS is not working well in its present state: running on an antiquated computer platform, and with many contributions, including U of T's, very out of date.

Another report very definitely of interest to most of us is the draft recently submitted to the Library of Congress by the "Working Group on the Future of Bibliographic Control". There was a live webcast on November 13 which very few people were able to watch because of technical problems. A Real Player recording is now available along with background material at the Working Group website (http://www.loc.gov/bibliographic-future/). The draft itself should be available from November 30 to December 15, for discussion purposes. Topics under review included the relationship between LC and other libraries in the creation and sharing of records; and the possibility of replacing MARC with something more "flexible" in order to "harvest" data from non-library sources. There is evident concern at LC about how unevenly the costs of cataloguing are being borne, and a desire to accept more vendor-supplied data in order to save money. When LC makes changes we are all affected, so this is something many of us will want to read and consider. Alastair will send round a notice on CatInfo when the written report is up, for those who don't have the time or inclination to watch the televised version.

6. LC's Table of Contents links and Order Records

It has been suggested that users might be misled by seeing an "Online" button on an Order record (i.e. one that lacks a call number and location). In a hitlist the link is clearly identified as Table of Contents, but not on the brief record itself. So the absence of a call number, plus the tempting word "online" can lead to the conclusion that the link is to an electronic resource. Alastair had taken this question to the most recent Reference Services meeting, where the consensus was that this is an OPAC display issue. Instead of asking book selectors to delete such links when importing LC

records for orders — and cataloguers to reinstate them at the point of cataloguing — it would be better to make plain that the link is to the TOC in all OPAC displays. Perhaps unsurprisingly, CAUG members felt the same way.

7. **MARC holdings for non-circulating multi-volume sets**
   a) Alastair passed round some web catalogue screenshots of the Item Information page for a couple of titles with inconsistent treatment of holdings. Carmen Socknat (*Victoria*) had pointed out that a title such as *Encyclopedia of India* [see below] was a multi-volume non-circulating set. Three libraries, including hers, had followed the suggestions discussed at CAUG a few years ago and created MARC holdings for them in place of separate call numbers and barcodes for each volume. But another had not.

Item Information	A Look Inside	Catalogue Record	

Encyclopedia of India
  Wolpert, Stanley A., 1927-

   Thomson Gale, c2006. 4 v. :

   A Look Inside: • Summary • Online

TRINITY
Location: REFERENCE-- DS405 .E556 2006
Library has: V.1-4
UTM
Location: REFERENCE-- DS405 .E556 2006
Library has: v.1-4
VIC_PRATT
Location: REFERENCE-- DS405 .E556 2006
Library has: v. 1 - 4

Trinity College Library (John W Graham Library)	Copies	Type	Location
DS405 .E556 2006	1	Book	Reference Material
University of Toronto at Mississauga Library	Copies	Type	Location
DS405 .E556 2006	1	Book	Reference Material
University of Toronto at Scarborough Library	Copies	Type	Location
DS405 .E556 2006 v.1	1	Book	Reference Desk/Ready Reference
DS405 .E556 2006 v.2	1	Book	Reference Desk/Ready Reference
DS405 .E556 2006 v.3	1	Book	Reference Desk/Ready Reference
DS405 .E556 2006 v.4	1	Book	Reference Desk/Ready Reference
Victoria University E.J. Pratt Library	Copies	Type	Location
DS405 .E556 2006	1	Book	Reference Material

This inconsistent treatment has led some catalogue users to wonder if only one library has all the volumes in this set. It was agreed that a consistent approach was desirable whenever possible. Alastair pointed out that occasionally one library will choose to circulate a set and send it to the stacks while other libraries put it in Reference. For such titles, a display like this example seems unavoidable. There was some discussion about whether we had agreed on a cut-off point, e.g. we wouldn't bother to create MARC holdings for a 2-volume set, but would do so for 3 volumes or more. One member suggested that making MARC holdings records was simple enough that it would make sense to use them in all cases of non-circulating sets, even those with only two volumes. Exceptions and inconsistencies cause difficulties for cataloguers and catalogue users alike.

b) Also on the subject of MARC holdings: the Law library is using not only the public note field (subfield **|z**) in the holdings **852** field, but also the **867** and **868** fields for listing supplements and indexes. Sirsi shows the correct labels for these, and thus it makes for a precise display when these are used in conjunction with **866** textual holdings. A member observed that the Sirsi check-in module does not make use of these fields when indexes or supplements are checked in along with regular issues.

The Law library also revived the question of multiple copies of a serial held in different locations within a single library. As previously discussed, it is possible to use multiple **852** fields to list location name (subfield **|c**) and copy number (subfield **|t**). Then multiple **866** fields can supply the holdings for each location, using the corresponding copy number in a subfield **|z** note field. The other method is to use separate holdings records for each location. Alastair wondered if multiple holdings for single libraries could lead to excessively long lists in the holdings part of the catalogue display, especially after serials concatenation. But a member well versed in serials controls politely disagreed, pointing out that separate holdings linked to separate check-ins for each copy/location greatly simplified the process, and indeed was the only automated way to deal with this situation.

At this point time ran out, so further discussion on this topic as well as agenda items 8-10 will have to wait until the next meeting, or be handled via CatInfo and e-mail.

The next meeting is currently scheduled for Monday, February 11.

The meeting adjourned at 11:38.